



WHO IS THE UBO OF COMPANY C?

USE CASE BASED ON FINCRIME AGENT GPT - NOVEMBER 2023

Introduction

In this case study, we navigated the complexities of corporate ownership to identify the Ultimate Beneficial Owner (UBO) of Company C.

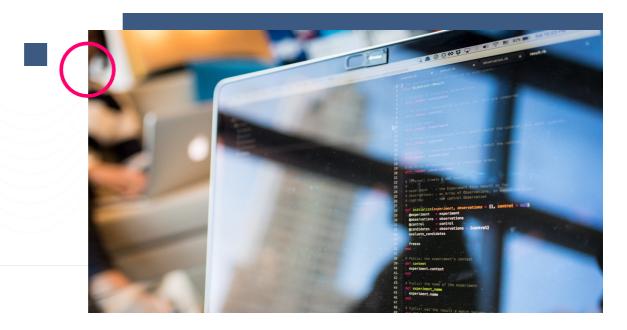
Why?

I'm challenging FinCrime Agent GPT so you can better understand some of the great capabilities on this tool.

What FinCrime Agent GPT did

FinCrime Agent GPT transformed a simple scribble into a clear map of corporate control. The Al's analytical power was put to the test, tasked with extracting the UBO from a tangled hierarchy of stakeholders.

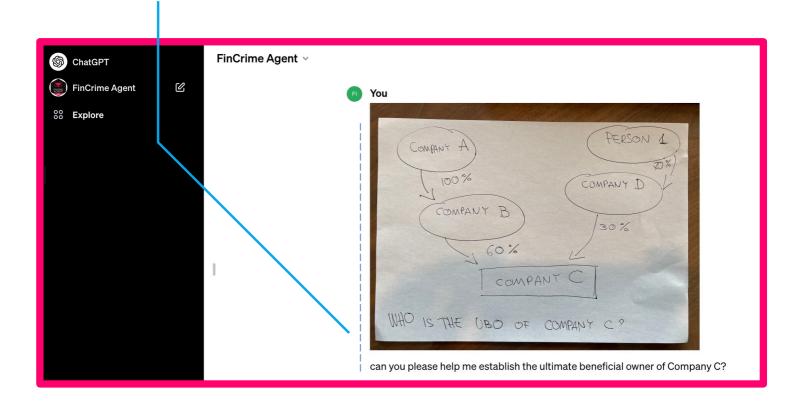
With precision, FinCrime Agent GPT interpreted shareholding percentages and ownership links to unveil the entity at the helm of power. This demonstration highlights how advanced AI can be an indispensable tool for compliance professionals in unravelling intricate financial structures.





INPUT 1

This is the first action I took. I just uploaded this scribbled in JPEG showing a company structure, and asked who is the UBO of Company C?



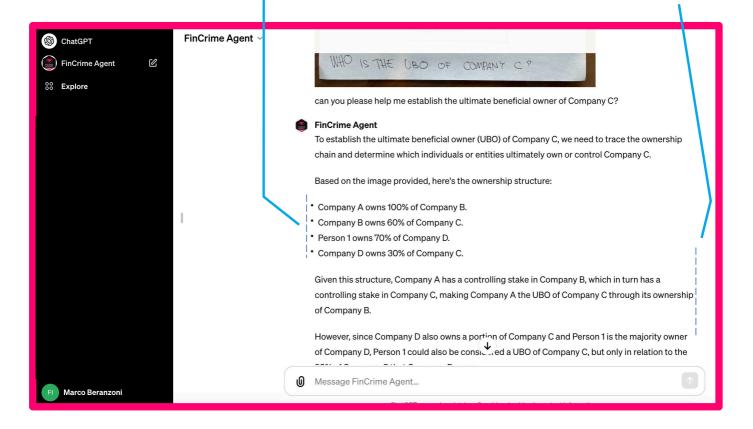
Let's see what was the reply to that ...



ANSWER 1

FinCrime Agent GPT firstly provided me with **the recap of the company structure** to validate that all percentages in my scribble are correct...
And yes they all are.

It then started to explain the controlling stakes across all entities.



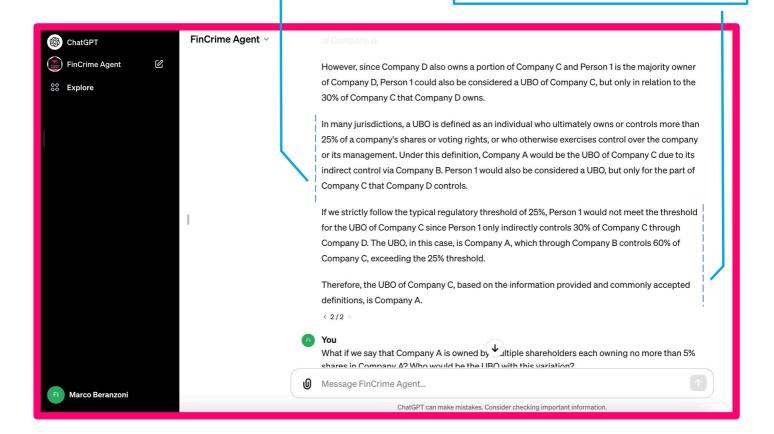
Let's continue ...



ANSWER 1

We did not specify initially in which jurisdiction we are so FinCrime Agent GPT now is providing a broad definition of UBO by applying the 25% rule.

This is the explanation and rationale used to establish who is the UBO of Company C.



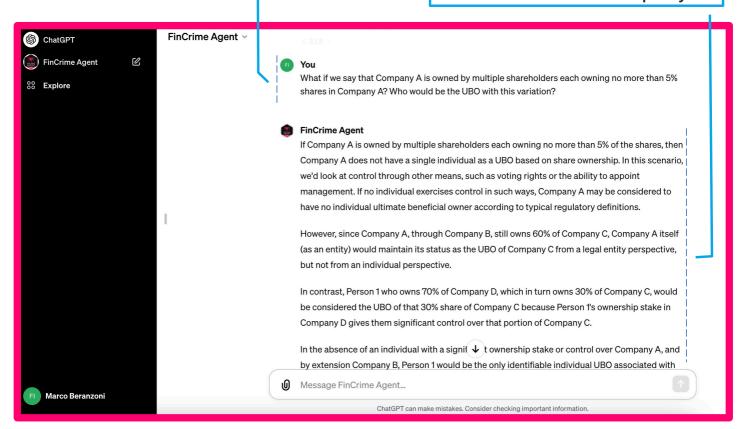
Shall we complicate things ...



VARIATION

I'm now making Company A owned by multiple shareholders where each of those own no more than 5% of Company A.

This is the **revised explanation and rationale**used to establish who is the
UBO of
Company C.

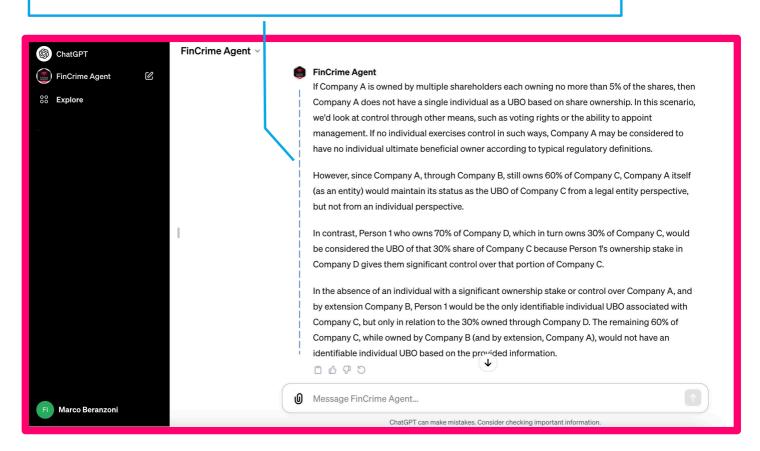


continue ...



VARIATION

Full view of the final explanation provided by FinCrime
Agent GPT to establish who is the UBO of
Company C based on the variation.



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About the Author



Marco Beranzoni

Financial Crime Specialist

With a career spanning over 15 years, Marco Beranzoni is a seasoned specialist in the realm of financial crime prevention. His expertise has been honed at numerous Tier 1 financial institutions, and he currently lends his vast knowledge to a leading fintech solution provider.

His academic accomplishments are highlighted by his CAMS certification and a plethora of ICA certificates.

Marco's dedication extends beyond traditional roles: he's the mastermind behind "FinCrime Agent," a YouTube channel dedicated to illuminating various facets of financial crime. His profound passion for technology underscores his belief in its potential to revolutionise the financial compliance sector, ensuring a more secure financial legacy for all.







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